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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Leonard Jan-Peter Ketelsen, et al.

Serial No.: N/A

Filed: Herewith

For: AN OPTICAL DEVICE AND METHOD OF MANUFACTURE THEREOF

Group No.: N/A

Examiner: N/A

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

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Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on the attached Form PTO-1449. Copies of the listed references are submitted herewith.

U.S. Patent No.

Inventor

Date

6,277,663 B1
5,783,844

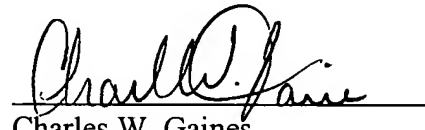
Matsumoto et al.
Kobayashi et al.

August 21, 2001
July 21, 1998

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Respectfully submitted,

HITT GAINES, P.C.

A handwritten signature in cursive script, appearing to read "Charles W. Gaines", is written over a horizontal line.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	1
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Complete if Known

Application Number	N/A
Filing Date	Herewith
First Named Inventor	Leonard Jan-Peter Ketelsen
Art Unit	N/A
Examiner Name	N/A
Attorney Docket Number	TRIQ-124286

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

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Examiner
Signature

Date	
Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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